

FORM PTO-1449 U.S. Department of Commerce
Patent and Trademark Office

Attorney Docket Number
9233-63

Serial No.
09/873,797

LIST OF DOCUMENTS CITED BY APPLICANT

(Use several sheets if necessary)

Applicants: Ekwuribe et al.

Filing Date: June 4, 2000

Group

U. S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
JR	1.	4,179,337	12/18/79	Davis et al.	435	181	
JR	2.	5,359,030	10/25/94	Ekwuribe	530	303	
JR	3.	5,405,877	04/11/95	Greenwald et al.	514	772.3	
JR	4.	5,567,422	10/22/96	Greenwald	424	78.3	
JR	5.	5,681,811	10/28/97	Ekwuribe	514	8	

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JR	8.	Y. Chen & G. Baker, "Synthesis and Properties of ABA Amphiphiles," <i>J. Org. Chem.</i> , 64: 6870-6873 (1999).
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JR	X10.	Coudert et al., "A Novel, Unequivocal Synthesis of Polyethylene Glycols," <i>Synthetic Communications</i> , 16(1): 19-26 (1986).
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EXAMINER
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Jeffrey E. Russel

DATE CONSIDERED

January 31, 2004

Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute form 1449A/PTO

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 Of



Complete if Known

Application Number	09/873,797
Filing Date	June 4, 2001
First Named Inventor	Ekwuribe
Group Art Unit	1651
Examiner Name	
Attorney Docket Number	9233.63

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Examiner Initials*	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code (if known)			
JR	1	4,223,163		Guilloty	09/16/1980	568/618
JR	2	4,801,575		Pardridge	01/31/1989	514/4
JR	3	5,099,074		Mueller et al.	03/24/1992	568/617
JR	4	5,122,614		Zalipsky	06/16/1992	548/520
JR	5	5,298,410		Phillips et al.	03/29/1994	935/188
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JR	12	5,714,639		Bowman et al.	02/03/1998	568/620
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		Office	Number	Kind Code (if known)				
JR	15	DE	196 32 440 A1		BASF AG	02/19/1998		
JR	16	EP	0 822 218 A2		Glenn A. Miller, Michael W. Jorgenson, Richard Budnik	02/04/1998		
JR	17	JP	01207320 (Abstract Only)		Daicel Chem Ind Ltd.	08/21/1989		
JR	18	PCT	WO 01/12230		Myung-Ok Park, Kang Choon Lee	02/22/2001		

OTHER NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T
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Examiner Signature

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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22	✓	Lindsay, D.G., et al., <i>The Acetylation of Insulin</i> , <i>Biochem J.</i> , Vol. 121, pp. 737-745 (1971)			
23	✓	Mesiha, M.S., et al., <i>Hypoglycaemic effect of oral insulin preparations containing Brij 35, 52, 58 or 92 and stearic acid</i> , <i>J. Pharm. Pharmacol.</i> , Vol. 33, pp. 733-34 (1981)			
24	✓	Moghaddam, Amir, <i>Use of polyethylene glycol polymers for bioconjugations and drug development</i> , <i>American Biotechnology Laboratory</i> , pp. 42, 44 (July 2001)			
25	✓	Neubauer, H. Paul, et al., <i>Influence of Polyethylene Glycol Insulin on Lipid Tissues of Experimental Animals</i> , <i>Diabetes</i> , Vol. 32, pp. 953-58 (October 1983)			
26	✓	Shen, Wei-Chiang, et al., (C) Means to Enhance Penetration; (3) Enhancement of polypeptide and protein absorption by macromolecular carriers via endocytosis and transcytosis, <i>Advanced Drug Delivery Reviews</i> , Vol. 8, pp. 93-113 (1992)			
27	✓	Sirokman, Geza, et al., <i>Refolding and proton pumping activity of a polyethylene glycol-bacteriorhodopsin water-soluble conjugate</i> , <i>Protein Science</i> , Vol. 2, pp. 1161-1170 (1993)			
28	✓	Torchilin, Vladimir P., <i>Immunoliposomes and PEGylated Immunoliposomes: Possible Use for Targeted Delivery of Imaging Agents</i> , <i>Immunomethods</i> , Vol. 4, pp. 244-58 (1994)			
29	✓	Wei, Jiang, et al., <i>A Poly(Ethylene Glycol) Water-soluble Conjugate of Porin: Refolding to the Native State</i> , <i>Biochemistry</i> , Vol. 34, pp. 6408-6415 (1995)			
30	✓	Xia, Jiding, et al., <i>Effects of polyoxyethylene chain length distribution on the interfacial properties of polyethylene glycol n-dodecyl ether</i> , <i>Yingyong Huaxue</i> , Vol. 2, No. 4, pp. 59-65 (Abstract Only) (1985)			
31	✓	Zalipsky, Samuel, et al., <i>Peptide Attachment to Extremities of Liposomal Surface Grafted PEG Chains: Preparation of the Long-Circulating Form of Laminin Pentapeptide, YIGSR</i> , <i>Bioconjugate Chem.</i> , Vol. 6, pp. 705-8 (1995)			

Examiner Signature	Jeffrey E. Russel	Date Considered	January 31, 2004
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Ekwuribe et al.

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June 4, 2000

Group
1651

U. S. PATENT DOCUMENTS

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JSR	1.	5,349,052	09/20/94	Delgado et al.	530	351	
JSR	2.	6,042,822	03/28/00	Gilbert et al.	424	85.7	

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		Document Number	Date	Country	Class	Subclass	Translation Yes No
JSR	✓ 3.	WO97/14740	04/24/97	PCT	C08G	65/32	X

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

JSR	✓ 4.	International Search Report corresponding to PCT/US02/17567; Date of Mailing: September 19, 2002.					
JSR	✓ 5.	Vreeland et al., "Molar Mass Profiling of Synthetic Polymers by Free-Solution Capillary Electrophoresis of DNA-Polymer Conjugates," <i>Analytical Chemistry</i> , 73(8): 1795-1803 (April 15, 2001).					

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ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18
Stylesheet Version v18.0

Title of
Invention

MIXTURES OF DRUG-OLIGOMER CONJUGATES
COMPRISING POLYALKYLENE GLYCOL, USES
THEREOF, AND METHODS OF MAKING SAME

Application Number: 09/873797



Confirmation Number: 2859

First Named Applicant: Nnochiri Ekwuribe

Attorney Docket Number: 9233.63

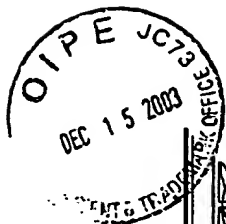
Art Unit: 1654

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or 5830853 or 5830918 or 5843866 or 5849860
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or 5874111 or 5898028).pn.

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
RL	1	5292802	1994-03-08	Rhee et al.	525	54.1	
RL	2	5304473	1994-04-19	Belagaje et al.	435	69.7	
RL	3	5308889	1994-05-03	Rhee et al.	523	113	
RL	4	5312808	1994-05-17	Shorr et al.	514	6	
RL	5	5324775	1994-06-28	Rhee et al.	525	54.2	
RL	6	5328955	1994-07-12	Rhee et al.	525	54.1	
RL	7	5405621	1995-04-11	Sipos	424	490	
RL	8	5405877	1995-04-11	Greenwald et al.	514	772.3	

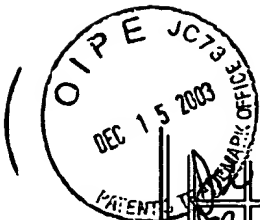


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13	5446091	1995-08-29	Rhee et al.	525/54.1
14	5457066	1995-10-10	Frank et al.	435/68.1
15	5461031	1995-10-24	De Felippis	514/4
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17	5506203	1996-04-09	Backstrom et al.	514/4
18	5518998	1996-05-21	Backstrom et al.	514/3
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Jeffrey E. Russel January 31, 2004

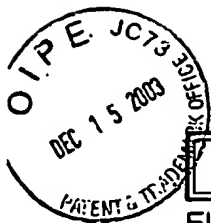
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Signature

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Jeffrey E. Russel	January 31, 2004



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Search string: (3256153 or 3868356 or 3919411 or 3950517
or 4003792 or 4044196 or 4087390 or 4093574
or 4100117 or 4229438 or 4253998 or 4277394
or 4338306 or 4348387 or 4410547 or 4469681
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RL	2	3868356	1975-02-25	Smyth		530	410
RL	3	3919411	1975-11-11	Glass et al.		424	213.1
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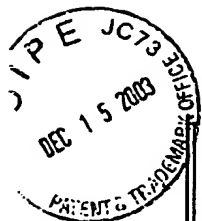
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Jeffrey E. Russel

January 31, 2004

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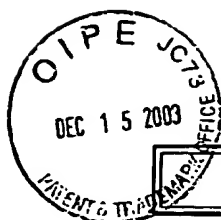
US Published Applications

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42	2	20030144468	2003-07-31	Ekwuribe et al.		528/425	
42	3	20030087808	2003-05-08	Soltero et al.		514/3	
42	4	20030083232	2003-05-01	Soltero et al.		514/3	
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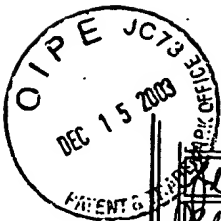
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RL	3	5907030	1999-05-25	Shen et al.	530/331		
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RL	5	5932462	1999-08-03	Harris et al.	435/188		
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Signature

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